

ROTHERHAM BOROUGH COUNCIL – REPORT TO MEMBERS

1.	Meeting:	Cabinet Member for Adult Social Care
2.	Date:	11th February 2013
3.	Title:	Residential Care Activity for Quarter 3
4.	Directorate:	Commissioning Policy and Performance – Resources Directorate

5. Summary

This report provides information on residential care activity for the period 1st October 2012 to 31st December 2012.

The report sets out details of occupancy/vacancy levels in residential and nursing care homes for older people and contract concerns together with information on work in respect of residential and nursing care homes.

6. Recommendations

- **That the report is presented to Cabinet Member of Adult Social Care and Contracting for Care Forum.**

7. Details

7.1 Occupancy Levels

Monitoring of the occupancy and vacancy levels within each residential care type is undertaken to ensure that there is sufficient capacity to meet current levels of need.

7.1.1 Independent Sector

Care Type	Total Beds	Occupied Beds	Vacant Beds	Q3 % Occupancy	Q2 % Occupancy
Residential	777	684	93	88.03	92.55
Nursing	310	270	40	87.09	87.03
Dual Registered	284	201	83	70.77	82.92
Residential EMI	391	345	46	88.24	90.43
Nursing EMI	123	106	17	86.18	82.07
EMI Dual Registered	209	168	41	80.38	74.40
Totals	2094	1774	320	84.72	84.90

The independent sector has seen a slight decrease in occupancy between quarters 2 and 3 of 2012-13.

7.1.2 Local Authority Care

Care Type	Total Beds	Occupied Beds	Vacant Beds	Q3 % Occupancy	Q2 % Occupancy
Residential	37	35	2	94.59	98.61
Residential EMI	59	55	4	93.22	95.83
Totals	96	90	6	93.75	97.22

Care Type	Total Beds	Occupied Beds	Vacant Beds	Q3 % Occupancy	Q2 % Occupancy
Intermediate Care	49	41	8	81.36	83.67

Occupancy of Local Authority residential care has decreased slightly between quarters 3 and 2 of 2012-13.

In total there are currently 330 vacant beds available which is sufficient to meet current demands, giving overall occupancy of 85.14%.

Occupancy of intermediate care beds has decreased slightly from Quarters 3 and 2 of 2012-13, although the average length of stay has reduced from 21 days to 17 days. Admissions have increased to 171 admissions in Quarters 3 from 141 admissions in Quarters 2.

7.2 Contract Concerns

This report refers to the period 1st October to 31st December 2012.

As the report focuses on closed contract concerns the date the concern was received may be some months prior to the closure date, especially where the concern relates to a Safeguarding Investigation.

7.2.1 Contract Compliance Activity

During the quarter 54 contract concerns relating to residential and/or nursing care have been closed. These concerns related to 19 individual providers.

7.2.2 Overview of Substantiated Concerns and Actions Taken

No	Concern	Action Taken by the Provider
8	Medication Errors None of the concerns led to adverse effects on the Service Users involved	<ul style="list-style-type: none"> • Training update • Supervision • Staff member stopped from issuing medicines • New systems implemented • More robust audits
22	Quality of Care Provided These included: <ul style="list-style-type: none"> • Pressure care • Continence issues • Weight loss • Lack of stimulation • Moving & handling • Incorrect use of equipment • Fluid intake • Diet & food quality • Lack of risk assessment • Missed appointments • Falls 	<ul style="list-style-type: none"> Team meetings Tissue viability awareness training MCA training Staff supervision sessions Appraisals Diet charts reviewed & improved Menu review Skills audit Care plan updates Fluid charts Specialist equipment purchased • System to ensure attended appointments • Continenence service involved • Moving and handling training • Disciplinary action against staff • Dismissal • Staffing levels addressed • Check list amended • Audits
3	Environment & Security in the home	<ul style="list-style-type: none"> • Repairs undertaken • Regular checks implemented • Security raised with staff • Extra security measures put in place
8	Poor Record Keeping	<ul style="list-style-type: none"> • New care plan documentation • More staff not complete plans • Disciplinary procedures • Supervision

		<ul style="list-style-type: none"> • New weight scales purchased • Team meeting
3	Safety of Residents, including Food Safety	<ul style="list-style-type: none"> • Bedrails check list and risk assessment • Care plan amended • Training
4	Staff Conduct	<ul style="list-style-type: none"> • Supervision • Staff attend in twos • Disciplinary
1	Deprivation of Liberty	<ul style="list-style-type: none"> • Training
1	Data Protection	<ul style="list-style-type: none"> • Disciplinary • Record keeping policy re-issued
2	Altercations between Residents	<ul style="list-style-type: none"> • Challenging behaviour training • Risk assessment done • Regular checks • Pressure alarm and mats in use
2	Management of the Home, Placement and Fees	<ul style="list-style-type: none"> • Moved to correct unit • Top up waived

7.2.3 *Default or Suspension of Placement Resulting from Contracting Concerns*

Five residential homes had a default notice during the quarter; of these 2 had suspension of placements.

7.3 *Home from Home Review*

Work is ongoing on the current years Home from Home Reviews with participation from:

- Commissioning Team
- Contract & Quality Assurance Team
- Age UK
- Speak Up
- Independent Assessors

During quarter 3 workshops were held with providers to work through how to use the new toolkit and how the approach would differ from previous years. The workshops were well attended and the toolkit was positively received. Providers have been asked to complete and return the toolkit by the end of February. A desktop assessment of the received information will then be completed prior to visits being made.

The Council has undertaken to complete all reviews by the 31st July 2013.

7.4 *Fee Setting*

During quarter 3 consultation was undertaken with the sector in respect of fee setting for the financial year 2013-14. Following this work a separate report has been submitted to DLT and forwarded on to Cabinet Member and Contracting for Care Forum meetings; the report sets out the options and recommendation

7.5 *Contracts*

Work is continuing on the single contract for adult residential care. A draft contract and service specification was submitted to providers for final comment prior to the end of the year. Comments have now been received and are being considered in detail.

8. **Finance**

Revenue expenditure relating to occupancy/vacancy information provided in this report is monitored and reported separately under existing budget monitoring arrangements.

Finance implications relating to fee setting are reported separately in the Fee Setting report.

9. **Risks and Uncertainties**

Risks and uncertainties relating to fee setting are reported separately in the Fee Setting report.

Under the Choice of Accommodation Directions LAC (2004) 20, Councils can only be held accountable for third party contributions where there is not a genuine choice of alternative accommodation that meets assessed need at the Council's usual price. The Council, therefore, needs to work with the sector to ensure that the market is sufficiently buoyant to provide a choice of accommodation.

Occupancy levels in residential care have fallen slightly since quarter 2 of the current financial year. Whilst this does not currently represent a risk, the situation will continue to be monitored.

10. **Policy and Performance Agenda Implications**

Ensuring adequate availability of residential and nursing care places is consistent with the national framework outcome 'Exercise of Choice and Control' in that people have access to choice and control of good quality services which are responsive to individual needs and preferences.

Following completion of the 2012-13 Home from Home reviews performance will be reviewed to inform options for potentially using preferred providers.

11. **Background Papers and Consultation**

Consultation on fee setting, the residential care contract and the new Home from Home toolkit have been undertaken with the sector.

Contact Name:

Janine Parkin
Strategic Commissioning Manager
Extension 23969
Janine.parkin@rotherham.gov.uk